



## Key Investor Information

This document provides you with key investor information about this fund. It is not marketing material. The information is required by law to help you understand the nature and the risks of investing in this fund. You are advised to read it so you can make an informed decision about whether to invest.

**Sprott Copper Miners ESG Screened UCITS ETF (the "Fund") - Accumulating ETF Share Class**  
A sub-fund of HANetf ICAV. Managed by HANetf Management Limited (the "Manager")

ISIN: IE000IQQEL77

### Objectives and Investment Policy

The Fund seeks to track the price and yield performance, before fees and expenses, of Nasdaq Sprott Copper Miners ESG Screened Index (the "Index").

**Index:** The Index is governed by a published, rules-based methodology and is designed to measure the performance of a global investable universe of securities (predominantly publicly listed companies) that are active in the copper mining industry combined with an assessment for environmental and social characteristics.

The Index also screens out companies based on some environmental, social and governance ("ESG") criteria, details of which are set out in the supplement to the prospectus for the Fund (the "Supplement").

**Eligibility:** To be eligible for inclusion in the Index, companies must be classified by Nasdaq, Inc. (the "Index Provider") as a copper producer, developer or explorer and have a copper theme intensity score of at least 25% i.e., at least 25% of its revenues are derived from copper mining related activities. Classification is based on the definitions below. All references to "revenue" are references to gross revenue as reported in a company's most recent available audited financial statements.

**Producers:** companies that extract copper and that have a significant percentage of their revenue tied to the sale of copper.

**Developers:** companies that have the majority of their operations related to preparing mines for copper extraction.

**Explorers:** companies that have the majority of their operations related to searching for copper, which may involve mapping, surveying, testing water and soil samples, drilling, etc.

Following classification, to be eligible for inclusion in the Index, a security must meet the below requirements:

- have a primary listing on a stock exchange or regulated market as described in Appendix 1 of the Prospectus;
- have been listed on a stock exchange or regulated market for at least three months prior to an index rebalancing date;
- have a free float market capitalisation of at least USD\$200,000,000 at the time of inclusion in the Index and, once included in the Index, have a free float market capitalisation of at least USD\$100,000,000 at the time of each subsequent rebalance of the Index;
- have a minimum three-month average daily value traded of at least USD\$500,000 prior to inclusion in the Index and, once included in the Index, have a minimum three-month average daily value traded of at least USD\$250,000 at the time of each subsequent rebalance of the Index;

- be evaluated for greenhouse gas ("GHG") emissions and energy intensity scores to exclude securities with the worst scores. To make this evaluation, the Index Provider uses data from Skarn Associates, a consultancy specialising in energy intensity, GHG emissions and water use across copper supply chains. For more information, please visit <https://www.skarnassociates.com/>; and
- be evaluated based on ESG screens, with any companies violating any of the ESG screens excluded from the index universe (in addition to those companies for which an evaluation cannot be made due to insufficient and/or missing information or data). The ESG screening is based on research and analysis provided by Sustainalytics, and excludes companies from the index universe which:
  - a) violate the UN Global Compact principles; and/or
  - b) are involved in, linked and/or derive a specified proportion of their revenues from:
    - Controversial weapons;
    - Oil and gas;
    - Arctic oil and gas;
    - Oil sands;
    - Thermal coal; and/or
    - Pesticides.
  - c) Have a Sustainalytics Controversy Rating of five (5).

The Index is rebalanced semi-annually in June and December based on the above eligibility criteria.

**Replication:** The Fund will employ a "passive management" investment approach and will seek to employ a replication or representative sampling methodology, meaning insofar as possible and practicable, it will invest in the equity securities of companies in proportion to the weightings comprising the Index.

**Dealing:** Shares of the Fund ("Shares") are listed on one or more stock exchanges. Typically, only authorised participants (i.e., brokers) can purchase Shares from or sell Shares back to the Fund. Other investors can purchase and sell Shares on exchange on each day the relevant stock exchange is open.

**Distribution policy:** Income received by the Fund's investments will not be distributed in respect of the Shares of this class. Instead, it will be accumulated and reinvested on behalf of the shareholders of the Fund.

**Recommendation:** This Fund may not be appropriate for short-term investment.

**Currency:** The base currency of the Fund is US Dollar. For full investment objectives and policy details, please refer to the Supplement.

### Risk and Reward Profile



## Charges

The charges you pay are used to pay the costs of running the Fund, including the costs of marketing and distributing it. These charges reduce the potential growth of your investment.

### One-off charges taken before or after you invest

Entry charge	0%*
Exit charge	0%*

### Charges taken from the Fund over a year

Ongoing charges	0.59%**
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### Charges taken from the Fund under certain specific conditions

Performance fee	None
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\* Authorised participants dealing directly with the Fund may pay an entry charge up to a maximum of 5% and an exit charge up to a maximum of 3%. The Fund is currently not exercising its entitlement to apply entry and exit charges.

As the Fund is an ETF, secondary market investors will not typically be able to deal directly with HANetf ICAV. Investors buying Shares on exchange will do so at market prices which will reflect broker fees and/or transactions charges and bid-ask spreads.

\* Authorised participants dealing directly with the Fund will pay related transaction costs.

\* For Investors dealing directly with the Fund, switching between sub-funds may incur a maximum switching charge of 3%.

\*\* The ongoing charges may vary year on year. It excludes portfolio transaction costs, except in the case of an entry/exit charge paid by the Fund when buying or selling units in another investment fund.

Please see "General Charges and Expenses" and "Management Charges and Expenses" sections of the Prospectus and "Charges and Expenses" and "Key Information for Share Dealing" sections of the Supplement for further information about charges.

## Past Performance

- There is insufficient data to provide a useful indication of past performance.

## Practical Information

**Investment Manager:** Toroso Investments, LLC

**Depository:** J.P. Morgan SE - Dublin Branch.

**Administrator:** J.P. Morgan Administration Services (Ireland) Limited.

**Further information:** Copies of the Prospectus and the latest financial statements are available free of charge from the Administrator. The Prospectus and financial statements are prepared for HANetf ICAV rather than separately for the Fund. Further information on the composition of the portfolio and information on the Index constituents is available at [www.HANetf.com](http://www.HANetf.com).

**Remuneration Policy:** Details of the Manager's remuneration policy, including a description of how remuneration and benefits are calculated and the identities of the persons responsible for awarding such remuneration/benefits, can be accessed from the following website: [www.hanetf.com](http://www.hanetf.com). A paper copy of these policy details is also available free of charge from the Manager upon request.

**Pricing information:** The net asset value of the share class will be available during normal business hours every business day at the office of the Administrator and will be published daily on [www.hanetf.com](http://www.hanetf.com).

**Switching:** Switching of Shares between sub-funds of HANetf ICAV is not possible for investors who purchase shares on exchange. Switching may be available to authorised participants who deal directly with the Fund.

**Segregated liability:** The Fund is a sub-fund of HANetf ICAV, an umbrella Irish collective asset-management vehicle. Under Irish law the assets and liabilities of the Fund are segregated from other sub-funds within HANetf ICAV and the assets of the Fund will not be available to satisfy the liabilities of another fund of HANetf ICAV.

**Taxation:** HANetf ICAV is resident in Ireland for taxation purposes. Irish taxation legislation may impact on the personal tax position of an investor.

**Liability statement:** The Manager may be held liable solely on the basis of any statement contained in this document that is misleading, inaccurate or inconsistent with the relevant parts of the Prospectus.

This Fund is authorised and regulated in Ireland by the Central Bank of Ireland.

HANetf Management Limited is authorised in Ireland and regulated by the Central Bank of Ireland.

This key investor information is accurate as at 13.11.2023.